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Johnie Crosby

(Name of person mailing)

Johnie Crosby

(Signature of person mailing)

ATTORNEY FILE NO. 8406/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF)
)
 HOWARD B. GARBER)
)
 SERIAL NO. 08/868,200)
)
 FILED: June 3, 1997)
)
 FOR: SYSTEM AND METHOD FOR)
 TRADING HAVING A)
 PRINCIPAL MARKET MAKER)

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Before examining the above-identified application, please amend the application as follows: